DR. VISHNUVAJJHALA ADITYA SRINIVAS,

MBA (GOLD MEDAL),PH.D, MDP (IIM AHMEDABAD), CWM, ISO 9001:2008 LEAD AUDITOR

Capital market and financial markets professional with specialisation in Macro Economics and Fundamental Research.

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CAREER SUMMARY

- 10 years of corporate experience in field of financial services with complete knowledge about all the segments of the capital markets and financial products.
- Exposure to research in the capacity of fund manager of various asset allocations and asset linkages.
- Complete knowledge about the working of the operational aspects of the capital market and in specific about the stock market fraternity
- Presented Research papers in national and international conferences which gives complete exposure to International experience
- Complete practical and on hands exposure to the working of the stock broking firms as worked as Leading stock broking houses in various capacities
- IN depth knowledge of Macro Economics and Fundamental Research as worked as Fund Manager.

PROFESSIONAL EXPERIENCE

Working as Chief Operating Officer of BSE BROKERS FORUM from 31st August 2012. From 11th March 2013 given additional responsibility of Chief Economist of Bombay Stock Exchange Brokers Forum.

QUALIFICATION

- Ph.D in Economics from Veer Narmad South Gujarat University NAAC Rated 5 star
- Management Development Programme (MDP) from IIM Ahmedabad.
- Chartered Wealth Manager (CWM) awarded Honorary by American Academy of Financial Management.
- MBA Finance (Gold Medal), S.R.Luthra Inst of Management (2004)
- BBA Finance (Distinction) South Gujarat University (2002)
- 24 Research papers published in various books and journals
- 21 Research papers presented in National and International Conferences
- Authored Book on "Introduction to Indian Commodities Market"

Unique Achievements:-

Dr.Aditya has been referred to be appointed as an Advisor by Member of Parliament (Lok Sabha) Shri Hansraj Ahir to Prime Minister Dr. Manmohan Singh. Received letters from Prime Minister Office (PMO) acknowledging the same.

Dr.Aditya has been referred to be appointed as an Advisor by Member of Parliament (Lok Sabha) Shri Kaushalendra Kumarji to Prime Minister Dr. Manmohan Singh and also to Shri P Chadambaram. Received letters from Dr. Manmohan Singh and Shri Chidambaram acknowledging the acceptance.

Dr.Aditya has been referred to be appointed as an Advisor by Member of Parliament (Lok Sabha) Shri Hansraj Ahir to Prime Minister Dr. Manmohan Singh and also to Shri P Chadambaram.

Invited by Harvard Law School to attend International Conference on Islamic Finance from April 25 to April 27 2014 held at Boston. Globally only 80 experts had been called to attend the conference from 20 countries.

Key Achievements:-

- Took one on one interview of Dr.Siddhartha Roy, Economic Advisor Tata Group (Directly reports to Tata Group Chairman, Cyrus Mistry). The interview was printed in the April 2013 Annual Edition of FORUM VIEWS Magazine.
- Addressed Indian Institute of Management (IIM) Ahmedabad, 50 Post Graduate Students of Management
- Addressed Indian Institute of Management (IIM), Indore, 45 Post Graduate Students of Management
- Key speaker at Oman Stock Exchange and Maldives Stock Exchange on Indian Capital Market
- Article published by Hong Kong Securities Association in their journal on the topic "Global Financial Crisis lessons to be learnt"
- Article published by Tilburg University in International Journal "Faces International" on the topic "Role of Government in Stock Exchanges"
- Conducted Master of Ceremonies (MOC) during the visit of Prime Minister of Hungary Mr. Victor Orban on 18th October at the BSE International Convention Hall.
- Conducted Master of Ceremonies (MOC) during the launch of BSE Currency Derivatives during presence of Shri Salem, Hono Union Minister of Revenue, Dr. K P Krishnan, Additional Secretary, Govt of India.
- Represented India at the Asia Securities Forum held at Taiwan from 23rd October to 25 October 2013. The meet had participation from more than 14 countries with around 50 delegates.
- Addressed 16 member delegation of Western Australia Government delegation through video conference on Investment opportunities in India.
- Addressed German Delegation, France Delegation on Indian Economy at BSE
- Addressed 64 senior level delegation from 16 countries from IMD Switzerland on Indian Economy and Indian Capital Market.
- Invited as Panel Expert at IIFT Delhi at National Finance Symposium
- Editor of monthly magazine "BSE FORUM VIEWS" which has won "Silver" award at 53rd Annual Awards Nite organised by Association of Business Communicators of India along with TATA group

EARLIER EMPLOYMENT

Worked as Full Time Faculty cum Economist and International Trainer at Bombay Stock Exchange and also as Corporate Trainer representing BSE at various customised National and International Progammes from 8th June 2011 to 30th August 2012)

Roongta Rising Stock Pvt Ltd (Feb 2010 to June 2011)

NATIONAL HEAD - BUSINESS DEVELOPMENT - Equities, Derivatives, Commodities Currency.

ANGEL BROKING LIMITED (Jan 2009 to Jan 2010)

REGIONAL HEAD – HANDLING ENTIRE BUSINESS DEVELOPMENT ALONG WITH ADVISORY DESK

CONCEPT SECURITIES PVT LTD (Dec 2006 - Dec 2008)
ASST. VICE PRESIDENT - Chief Economist and Fundamental Analyst,

S.R.LUTHRA INST OF MANAGEMENT (AUG 2005 - Dec 2006)
LECTURER - TAUGHT SUBJECTS ON PORTFOLIO MANAGEMENT, DERIVATIVES

Department of Economics, Veer Narmad South Gujarat University (June 2004-July2005) LECTURER – TAUGHT SUBJECTS ON STOCK MARKET AND CAPITAL MARKETS

MAJOR ANALYTIC ASSIGNMENTS UNDERTAKEN

- Key speaker at Muskat Securities Market held at Muskat, OMAN for 3 day
 International program on "Analysing Dynamics of Stock Market" from 14th to 16th
 November 2011.
- Completed "Management Development Programme (MDP)" from Indian Institute of
- Management (IIM) Ahmedabad held on 22nd Feb to 25th Feb 2012 on Business Leadership & Law.
- Addressed 35 Army, Navy and Airforce personnel with average experience of 26 years on Dynamics of Capital Market
- Addressed 50 professional of ASEAN (Association of South East Asian Nations) on World Economy.
- Addressed 25 Manger level officers from SEBI on Investment Management
- Addressed Lilavati Hospital Trustee on Global Financial Crisis and Investment management.
- Addressed 140 Assistant Commissioner of Income Tax personnel from Direct Tax Academy.
- Addressed 15 International students from Germany through Welinkar Inst.
- Faculty for Global Financial Markets Professional Program (GFMP)
- Faculty for MBA Financial Markets Degree Program
- Key faculty for major Corporate Trainings of BSE Training Institute.
- Taken Session of Fundamental Analysis for BTI at Surat, Baroda and Ahmedabad
- Invited as an expert on Fundamental Analysis by Pancholi Education Trust at Ahmedabad, Gujarat for 50 brokers
- Designed content for more than 12 programmes to be launched
- Designed content for IGNOU One year Post Graduate Diploma In management
- Designed content for Global MBA Program to be conducted by Nyenrode University in collaboration with BSE

- Invited for lecture on Mutual funds by St.Francis Inst of Management at Borivalli, Mumbai.
- 2. Invited for lecture on capital markets, derivatives, fundamental analysis by Tolani Inst of Management, Andheri, Mumbai.
- 3. Visiting Faculty at South Gujarat Chamber of Commerce and Industry for subject "International Marketing"
- 3. Guest Lecture taken at DBIM MBA Full Time Programme on the subject "Fundamental Analysis of Banking Sector"
- 4. Guest Lecture taken at DBIM MBA Evening Programme on the subject "Financial Derivatives and Financial Statement Analysis"
- 5. Visiting Faculty at Master of Business Economics Programme for subjects Macroeconomics, Microeconomics, Portfolio Management, Mutual Funds, Principles of Management.
- 6. Visiting Faculty at Master of Arts Economics for topics like Multinational Corporations, Economic Reforms, Fiscal and Monetary Policy, WTO, Balance of Payments.
- 7.Guest Lectures taken at Master of Business Economics, South Gujarat University on topics like Derivatives, Role of SEBI, Capital Market, and Mutual Funds.
- 8. Visiting faculty at B.R.C.M College of Business Administration for the subject "ECommerce"
- 9. Visiting faculty at M.Com Centre at the SPB English Medium College for the subject "Organized Markets"
- 10. Visiting faculty at Sarvajanik College of Engineering and Technology
- 11.Guest Lecture taken at C.K.Pithawala Institute of Management for subject Business Laws in topic of Company Law

APPLIED RESEARCH PROJECTS & ACADEMIC ACTIVITIES

(1) Paper Presented on "Co-operative banks in Gujarat-problems and prospects" presented at 35th Annual Conference of Gujarat Economic Association.

- (2) Presented Paper in U.G.C sponsored one day state level seminar on "Business Process Outsourcing" on 26th February, 2005 at Bhavnagar University, Bhavnagar.
- (3) Presented Paper on "Capital Markets and Corporate Governance" at Planning Commission's Centre for Research in Planning and Development Seminar cum Workshop organized by The Department of Economics of the M.S University of Baroda from March 3-5, 2005.
- (4) Presented Paper in Forum of Economic Teachers of Association on "Economic Development in South Gujarat Region- A case study of stock broking firms in surat city" at P.B.R Arts and P.G.R Commerce College, Bardoli on 23rd March 2005.
- (5) Paper "Democratic, Polity, Governance and Development: A reference to Growth of India since Independence" Accepted for Presentation in PANEL II of the FIFTH IIDS INTERNATIONAL CONFERENCE ON DEVELOPMENT AND GOVERNANCE held at the UNIVERSITY OF SOUTH PACIFIC IN SUVA, FIJI ISLANDS from 1 to 4 December 2005.
- (6) Presented Paper at UGC Sponsored National Seminar on Changing Dimensions of Marketing of Farm Commodities on theme A Reference to Commodity Derivatives in Agriculture held by Post Graduate Department of Economics, Sardar Patel University Vallabh Vidyanagar on 20th and 21st October 2005.
- (7) Paper Accepted for Presentation at the International Seminar on "Growth Strategy & Environmental Issues a reference to India's Position" held on 18th and 19th November 2005 held by School of Economics With UGC SAP Support, organized by Devi Ahilya University, Indore.
- (8) Paper Accepted at IV INTERNATIONAL CONFERENCE ON GLOBALISATION
 & SECTORAL DEVELOPMENT titled "WTO Era India's position in textile sector"
 organized by Academy of International Business India in association with
 Institute for Integrated Learning in Management, New Delhi.
- (9) Paper Presented at STATE LEVEL SEMINAR ON "MUTUAL FUNDS: ISSUES OPPORTUNITIES AND CHALLENGES" titled Mutual Funds: A better avenue for Investments at M.R.Desai Arts & E.E.Laher Kosadia Commerce College, Chikhli on 22-01-2006.
- (10) Paper Presented at ONE DAY NATIONAL LEVEL SEMINAR ON THE THEME
 "KNOWLEDGEMENT MANAGEMENT IN THE GLOBAL ERA" organized by Anand
 Institute of Management on 19th February, 2006. Paper titled Knowledge Management
 In the Era of Mergers & Acquisitions.
- (11) Paper Presented at 28th Conference of Forum of the Teachers of Economics held on 4th March 2006 at Smt. Kusumben Kadakia Arts and Commerce College, Ankleshwar.

Paper titled "Infrastructure Development : A reference to Port Policy of Gujarat with Special emphasis on South Gujarat.

- (12) Paper Accepted for Presentation titled "Analysis of Returns Generated by Sensex and Equity Diversified Mutual Funds" at International Conference on Creating Competitive Advantage In Global Economy CCA 2006 organized at Punjab College of Technical Education, Punjab On 8th April, 2006.
- (13) Paper titled "Knowledgement Management In the era of Mergers & Acquisitions awarded "The Best Paper Award" at One Day National Level Seminar held at Anand Institute of Management organized on 19th February 2006.
- (14) Paper Presented at National Conference on Intelligent Information retrieval & Processing On "Knowledge Management: A case study of ICICI Bank and ICICI" held on 29, 30 April 2006 at Shrimad Rajchandra Institute of Management and Computer Application with Computer Society of India, Surat Chapter at Barodli.
- (15) Paper Presented in Absentia at Seventh International Conference on Operations and Quantitative Management titled "Impact of FII's on Movement of Index" held from August 3 to 5 2006 at Jaipur. The Conference was jointly organized by Association of Indian Management Scholars International, International Forum of Management Scholars and University of Rajasthan.
- (16) Presented paper at International Conference held on 10th and 11th January 2011 at FGI Centre At Vadodara in collaboration with M S University titled "Mergers and Acquisitions"
- (17) Presented paper at International conference held on 6th August 2011 at Sri Visveshwaray Institute of management Studies and Research, Wadala, Mumbai. Paper titled "Global Financial Crisis"
- (18) Presented paper at International Conference held on 3rd and 4th Feb 2012 at Institute of Management & Technology (ITM) Kharghar, Mumbai titled "Mutual Funds tools for Financial Inclusion". The paper has been given the FIRST PRIZE among 35 Research papers Including one from China.
- (19) Presented paper at "UGC National Level Seminar at Ruia Girls College, Grant Road on 7 July 2012 on "Impact of Global Crisis on Indian Stock Market". Also Chaired Technical Session. Around 35 research papers were presented.
- (20) Presented paper at "State Level Seminar" at GVM College in GOA, Ponda on the theme "Strategies in Derivatives Market" on 12th March 2013

RESEARCH PAPER PUBLICATIONS

- (1) "Business Process Outsourcing" in "VISHLESHAN" The Quarterly Journal of Research and Readings in Economics Vol 30 No.1 January March 2005, published by Vishleshan Trust Pp 41 to 46.
- (2) "Mergers & Acquisitions: A Buzzword or Reality" in "VISHLESHAN" The Quarterly Journal of Research and Readings in Economics Vol 30 No.2 April June 2005, published by Vishleshan Trust Pp 23 to 29.
- (3) "Reforms in Finance, Banking and Insurance" published in special study and year book entitled "New Dimensions in India's Economic Growth & Social Change" 2004-2005 Published by CENTRE FOR INDIAN ECONMY AND BUSINESS STUDIES, NEW DELHI, page no. 126 to 134.
- (4) Paper Published in Conference Volume of Gujarat Economic Association 36th Annual Conference titled "Technology led to more investment in Indian Market A reference To Indian Capital Market", page no. 20 to 33. The conference was held at N.S.Patel Arts College, Anand on 28th and 29th January 2006.
- (5) Paper published in conference proceeding at "Third AIMS International Conference on Management and Indian Institute of Management (Ahmedabad), held from January 1 to 4 2006, on the theme "Risk Management A Comparative Study of Financial Derivatives and Commodity Derivatives".
- (6) Paper published in the book titled "Rights of Dalit" by Swapna Samel, paper titled "Dalits A true case of Inequalities among Equalities" page no 1 to 16, published by Serials Publications New Delhi.
- (7) "Paper published in "VISHLESHAN" The Quarterly Journal of Research and Readings In Economics Volue31 No. 2 April June 2006 titled "Infrastructure Development A reference to port policy of Gujarat and Surat's Position" page no. 30 to 36.
- (8) Paper published in the Four set Volume titled "THE STATE OF INDIAN ECONOMY" by V.B.Jugale & Y.V.Jugale, paper titled "International Trade and Environmental Policy Issues A Reference to India's Position" page 1 to 41, published by Serials Publications, New Delhi.
- (9) Paper Published in the Bi-Annual Journal on Management Issues titled "Analysis of Returns generated by Sensex and Equity Diversified Mutual Funds" published by Punjab College of Technical Education, Ludhiana, Page no 11 to 20. in Vol 3.Issue No. January- June 2006 edition.
- (10) Paper published in the Book titled Reflections in management titled "Global Financial Crisis Lessons to be learned" page 189 Excel publishers, New Delhi.

- (11) Article published in monthly BSE magazine "ARTHPATRA" volume 3 in January 2012 Titled "FII runs Index study from 2005 to 2010".
- (12) Article Published in BSE BROKERS FORUM NEWSLETTER Volume 1 Issue 4 March 2012 Titled "Global Financial Crisis Lessons to be Learnt" page no. 5 & 6
- (13) Paper Published in "Global Journal of Management Application" ISSN 2249-345X tilted Interest Rate and Inflation: Two sided sword" page 10 to 15 published Sir M Visvesvarya Inst Of Management Studies and Research, Wadala, Mumbai, Maharashtra.
- (14) Paper published in "Global Journal of Management Application" titled "FII runs the Index- study from 2005 to 2012" published by Vishwesvarya Management Institute page no 15 to 19
- (15) Article titled "Policy Paralysis hitting Indian Economy" published in "Forum Views" by Bombay Stock Exchange Brokers Forum.
- (16) Article titled "Reforms back at last" published in "Forum Views" by Bombay Stock Exchange Brokers Forum page 3 and 4.
- (17) Paper on "Mutual funds as a tool for Financial Inclusion" published online by SKOCH Group on 12th February 2013. The link for the paper The link of the paper http://www.inclusion.in/index.php?option=com_content&view=article&id=865
- (18) Article published in International Magazine "FACES INTERNATIONAL" from Netherlands by Tilburg university and article titled "Role of Indian Government and stock exchanges" on page no 20.
- (19) Paper published in International Financial Magazine issued by Hong Kong Securities Association titled "Participants" and paper titled "Global Financial Crisis Lessons to be Learnt" from page 18 to page 20 in May 2013.
- (20) Paper Published in Global Journal of Management Applications (ISSN 2249-345X) tilted "FII flows and its impact on Sensex" page 23 to 28 in Volume III No. 3 July 2013 edition, published by Sir M Visveswariya Institute of Management Research, Wadala Mumbai.
- (21) Paper published by Hong Kong Securities Association in their journal "Participants" titled "World Economy passing through tough phase" page 19 and page 20 in January 2014.
- (22) Paper published by Financial Intermediaries Association of India (FIAI) in their special edition "Gazing The Crystal Ball 2014" on Market Outlook page 9
- (23) Paper published in ISSN 0976-2507 Research Journal "Business Risk Management" in Vol III: Issue I Jan-June 2013 paper titled "Risk Management system of Derivatives segment" published by Anjuman-I-Islam Allana Institute of Management Studies

- (24) Paper published in e-ISSN 2347 5587 CKPIM Business Review International Journal Vol No. II Issue No. 1 January 2014 paper tiled "Role of Regulatory Bodies in India" page number 18 to 22.
- (25) Article published in FIAI Diary (Financial Intermediaries Association of India) June 2014 Edition titled "Expectations from the new government" on page number 5.